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I

(Acts whose publication is obligatory)

COMMISSION DECISION 2514/76/ECSC

of 30 September 1976

implementing Decision 528/76/ECSC on the Community system of measures
by Member States in aid of the coal industry

THE COMMISSION OF THE EUROPEAN
COMMUNITIES,

HAS ADOPTED THIS DECISION:

Article 1

Having regard to the Treaty establishing the European Coal and Steel Community,

The information provided for under Article 2 of Decision 528/76/ECSC shall be notified in the forms shown in Annexes 1 to 12 hereto, bearing in mind the explanatory notes in Annex 13.

Having regard to Commission Decision 528/76/ECSC of 25 February 1976 on the Community system of measures by Member States in aid of the coal industry ⁽¹⁾, and in particular Article 17 thereof,

Article 2

Having consulted the Council,

At the request of one or more Member States, the Commission may authorize simplifications of the communication procedure.

Whereas Decision 528/76/ECSC empowers the Commission to authorize, subject to the conditions therein set out, financial measures by Member States in aid of the Community coal industry;

Article 3

Whereas Decision 528/76/ECSC therefore provides that Member States must notify the Commission by 1 November of any given year of full particulars of the financial measures planned for the following year and the reasons therefor and scope thereof; whereas to ensure that the communications in question are comparable and to expedite the assembling and checking of this information, it is desirable that a common framework for presenting it should be set up,

The material obtained or compiled by the national authorities in implementing this Decision shall be centralized in the national departments and held at the disposal of the Commission at all times.

Article 4

This Decision shall enter into force on the day of its publication in the *Official Journal of the European Communities*.

This Decision shall be binding in its entirety and directly applicable in all Member States.

Done at Brussels, 30 September 1976.

For the Commission
Henri SIMONET
Vice-President

⁽¹⁾ OJ No L 63, 11. 3. 1976, p. 1.

ANNEX 1

(Ref. Articles 2 (1) (2) and 4)

FINANCIAL MEASURES CONCERNING SOCIAL SECURITY BENEFITS IN THE COAL INDUSTRY

Country: FEDERAL REPUBLIC OF GERMANY

FORM 1a

Date:

Year x =

I. TABULATION OF FINANCIAL MEASURES CONCERNING SOCIAL SECURITY BENEFIT

(DM million)

[illegible]

Annex 1 (cont'd)

Class of insurance: Pensions ⁽¹⁾

FORM 1b

Country: FEDERAL REPUBLIC OF GERMANY

Date:

	Mines scheme	General scheme
II. PENSION INSURANCE		
1. Basic data		
A. Total persons covered		
1. Contributors ⁽²⁾		
2. Beneficiaries		
(a) Total		
(b) Pensioners under 55 ⁽³⁾		
Difference		
B. Financial data (DM million)		
1. Charge to the industry (employers' and workers' contributions) ⁽⁴⁾		
2. Total expenditure		
(a) Total benefits		
(b) Other expenditure		
Total (a + b)		
less:		
(a) Refunds to migrant workers		
(b) Benefit to pensioners under 55 ⁽³⁾ (of which: other expenditure) ⁽⁵⁾	()	()
Net total expenditure		
2. Calculations		
A. Charge per worker employed		
Total contributions		
Number of contributors (DM million)		
Contributions per worker employed (DM)		C _G =
B. Benefit per beneficiary		
(excluding pensioners under 55)		
Net expenditure		
number of beneficiaries (DM million)		
Benefit per beneficiary (DM)	P _M =	P _G =

'Normal' charge to the industry per worker employed =

$$C_M = \frac{P_M}{P_G} \times C_G = \text{DM}$$

'Normal' charge to the industry = C _M × number of contributors	(DM million)
+ pensions to pensioners under 55	(DM million)
Total 'normal charge'	(DM million)
Actual charge (deducted)	(DM million)
Difference	(DM million)

⁽¹⁾ Invalidity, old age and survivors'.⁽²⁾ Compulsorily insured.⁽³⁾ Excluding widows' and orphans' pensions.⁽⁴⁾ Excluding State subsidies.⁽⁵⁾ 'Other expenditure' should be broken down in respect of pensioners under 55 as follows:

Mines scheme: $\frac{\text{benefit to pensioners under 55}}{\text{total benefits}} \times \text{other expenditure.}$

General scheme: $\frac{\text{benefit to pensioners under 55}}{\text{total benefits}} \times \text{other expenditure.}$

Annex 1 (cont'd)

Class of insurance: Sickness ⁽¹⁾

FORM 1c

Country: FEDERAL REPUBLIC OF GERMANY

Date:

	Mines scheme	General scheme
III. SICKNESS INSURANCE ⁽¹⁾		
1. Basic data		
A. Total persons covered		
1. Persons insured		
(a) Members, excluding pensioners		
(b) Pensioners		
2. Persons covered		
(a) Members, excluding pensioners		
(b) Dependants of members, excluding those of pensioners		
Total (a + b)		
(c) Pensioners		
(d) Dependants of pensioners		
Total (c + d)		
B. Financial data (DM million)		
1. Revenue		
(a) Contributions of members, excluding pensioners		
(b) Contributions of pensioners		
Total		
2. Expenditure		
(a) Benefits (in cash and in kind) to members, excluding pensioners		
Other expenditure for members, excluding pensioners ⁽²⁾		
Total (a)		
(b) Benefits (in cash and in kind) to pensioners		
Other expenditure for pensioners ⁽²⁾		
Total (b)		
Total		

⁽¹⁾ Sickness, maternity.⁽²⁾ 'Other expenditure' should be broken down in respect of 'members, excluding pensioners' and 'pensioners' according to the number of persons covered in the two categories:

(a) Mines scheme (members, excluding pensioners):

$$\frac{\text{number of members, excluding pensioners, + dependants}}{\text{total number of persons covered}} \times \text{other items of total expenditure} = \text{DM million.}$$

(b) General scheme (members, excluding pensioners):

$$\frac{\text{number of members, excluding pensioners, + dependants}}{\text{total number of persons covered}} \times \text{other items of total expenditure} = \text{DM million.}$$

(c) The formulae for pensioners should be worked out in the same way as in (a) and (b).

Annex 1 (cont'd)

Class of insurance: Sickness (cont'd)

FORM 1c (cont'd)

Country: FEDERAL REPUBLIC OF GERMANY

Date:

2. Calculations

A. Members, excluding pensioners, and dependants

$P_M = \frac{\text{expenditure for members, excluding pensioners (M.S.)}}{\text{number of members, excluding pensioners, + dependants (M.S.)}}$	(DM million) =	DM
$C_G = \frac{\text{contributions of members, excluding pensioners (G.S.)}}{\text{number of members, excluding pensioners (G.S.)}}$	(DM million) =	DM
$P_G = \frac{\text{expenditure for members, excluding pensioners (G.S.)}}{\text{number of members, excluding pensioners, + dependants (G.S.)}}$	(DM million) =	DM
'Normal charge' per member, excluding pensioners:		
$C_M = \frac{P_M}{P_G} \times C_G$	=	DM
'Normal charge' to the industry (members, excluding pensioners) = $C_M \times$ number of members, excluding pensioners		= DM million
Actual charge to the industry (members, excluding pensioners)		= DM million
Difference		= DM million

B. Pensioners and their dependants

$P_M = \frac{\text{expenditure for pensioners (M.S.)}}{\text{number of pensioners + dependants (M.S.)}}$	(DM million) =	DM
$C_G = \frac{\text{contributions of pensioners (G.S.)}}{\text{number of pensioners (G.S.)}}$	(DM million) =	DM
$P_G = \frac{\text{expenditure for pensioners (G.S.)}}{\text{number of pensioners + dependants (G.S.)}}$	(DM million) =	DM
'Normal charge' per member, excluding pensioners:		
$C_M = \frac{P_M}{P_G} \times C_G$	=	DM million
'Normal charge' to the industry (pensioners) = $C_M \times$ number of pensioners		= DM million
Actual charge to the industry (pensioners)		= DM million
Difference		= DM million

Annex 1 (cont'd)

Country: FEDERAL REPUBLIC OF GERMANY

FORM 1d

Date:

IV. SUMMARY

(DM million)			
Class of social insurance	'Normal charge' (Article 4 of Decision 528/76/ECSC)	Actual charge	Net balance (+ or -)
- Pension insurance			
- Sickness insurance			
- Members, excluding pensioners, + dependants			
- Pensioners + dependants			
Total			

The actual charge to the mining industry overall is thus DM million above/below the 'normal charge' under Article 4 of Decision 528/76/ECSC; of this, DM million (=%) is accounted for by the coal industry.

FORM 2a

Date:

Year x =

(Bfrs million)

[illegible]

Annex 1 (cont'd)

Class of insurance: Invalidity

FORM 2b

Country: BELGIUM

Date:

	Mines scheme	General scheme
II. INVALIDITY INSURANCE		
1. Basic data		
A. Total persons covered		
1. Contributors		
2. Beneficiaries		
B. Financial data (Bfrs million)		
1. Charge to the industry (employers' and workers' contributions)		
2. Expenditure		
(a) Benefits		
(b) Other expenditure		
Net total expenditure		
2. Calculations		
A. Charge per worker employed		
$\frac{\text{Total contributions}}{\text{Number of contributors}}$ (Bfrs million)		
Contribution per worker employed (Bfrs)	$C_M =$	$C_G =$
B. Benefit per beneficiary		
$\frac{\text{Net total expenditure}}{\text{Number of beneficiaries}}$ (Bfrs million)		
Benefit per beneficiary (Bfrs)	$P_M =$	$P_G =$

'Normal charge' to the industry per worker employed:

$$C_M = \frac{P_M}{P_G} \times C_G = \text{Bfrs}$$

Total 'normal charge' to the industry

$$C_M \times \text{number of contributors} = \text{Bfrs million}$$

Annex 1 (cont'd)

Class of insurance: Sickness

FORM 2c

Country: BELGIUM

Date:

(Bfrs million)

	Mines scheme	General scheme
III. SICKNESS INSURANCE		
1. Basic data		
1. Total charge to the industry (employers' and workers' contributions)		
2. Volume of wage liable for compulsory contributions		
2. Calculations		
$\frac{\text{Total charge}}{\text{Volume of wage}} =$	%	%
'Normal charge' to the industry =	%	
$\frac{\text{Charge under general scheme in \%} \times \text{mining industry's volume of wages}}{100} =$		Bfrs million

Country: BELGIUM

FORM 2d

Date:

IV. SUMMARY

(Bfrs million)

Class of social insurance	'Normal charge' (Article 4 of Decision 528/76/ECSC)	Actual charge	Net balance (+ or -)
Invalidity			
Sickness			
Total			

The actual charge to the mining industry overall is thus Bfrs million above/below the 'normal charge' under Article 4 of Decision 528/76/ECSC; of this, Bfrs million (=%) is accounted for by the coal industry.

Annex 1 (cont'd)

FORM 3a

Country: FRANCE

Date:

Year x =

I. TABULATION OF FINANCIAL MEASURES CONCERNING SOCIAL SECURITY BENEFITS

(FF million)

Origin of funds	Amount	Purpose
Total		

Annex 1 (cont'd)

Class of insurance: Pensioners' supplementary insurance

FORM 3b

Country: FRANCE

Date:

II. SUPPLEMENTARY INSURANCE

1. Supplementary Insurance for executives (formerly CARIM)

A. Contribution rates

	Rates on portions of salary liable to contribution	
	between social insurance ceiling and AGIRC ceiling (T2)	between AGIRC ceiling and double that amount (T3)
Contractual contribution	%	%
Supplementary contribution	%	%
Equalization contribution		
Total		

B. Calculation of charges

(FF million)

	Portions of salary liable to contribution	Total contributions	(Normal) contractual contributions	Excess charge
T2 contributions	(S)	(A)	(B)	(A — B)
T3 contribution				
T2 + T3				

2. Supplementary insurance for clerical, technical and supervisory personnel ⁽¹⁾

(FF million)

	Portion of income liable to contribution	Actual charges ⁽¹⁾	Normal charges ⁽¹⁾	Excess charge
	(S)	(A)	(B)	(A — B)

3. Supplementary insurance for workers (CARCOM)

(FF million)

	Portion of wages liable to contribution	Actual charges	Normal charge .% of S ⁽²⁾	Excess charge
	(S)	(A)	(B)	(A — B)

⁽¹⁾ CAREM scheme superseded from 1 January 1971 by AGIRC and UNIRS schemes.⁽²⁾ Rate of contribution is to move from 4.10% in 1971 to 4.40% in 1974, by 0.10% each year.

Annex 1 (*cont'd*)

Class of insurance: Invalidity/old age

FORM 3c

Country: FRANCE

Date:

	Mines scheme	General scheme
III. INVALIDITY AND OLD AGE INSURANCE		
1. Basic data		
A. Number of persons eligible		
1. Contributors		
2. Beneficiaries		
of which: (a) under 55		
(b) over 55		
(+ disabled persons and widows)		
B. Financial data (FF million)		
1. Charge to industry (contributions)		
2. Expenditure		
(a) Benefit (beneficiaries over 55 under mines scheme)		
of which: — pensions		
— heating		
— accommodation		
(b) Other expenditure less other revenue		
Net total expenditure (a + b)		
2. Calculations		
A. Charge per worker employed		
Total contributions (FF million)		
Number of contributors		
Charge per worker employed (FF)		
B. Benefit per beneficiary		
Net expenditure (FF million)		
Number of beneficiaries		
Benefit per beneficiary (FF million)		

'Normal charge' on the industry per worker employed =

$$C_M = \frac{P_M}{P_G} \times C_G = \text{FF}$$

Increase per worker employed in respect of benefits to beneficiaries under 55 falling wholly to the charge of the industry

$$\frac{\text{Total net benefit (under 55)}}{\text{Number of workers employed}} = \text{FF}$$

Charge per mineworker employed thus amounts to at least:

$$\begin{aligned} &\text{— for benefit to pensioners over 55:} && \text{FF} \\ &\text{— for benefit to pensioners under 55:} && \text{FF} \end{aligned}$$

Total $C_M =$

$$\frac{\text{Total 'normal charges' to the industry}}{C_M \times \text{number of contributors}} = \text{FF million}$$

Annex 1 (cont'd)

Class of insurance: Sickness/maternity/death

FORM 3d

Country: FRANCE (Gainfully employed only:
cash benefits)

Date:

	Mines scheme	General scheme
IV. SICKNESS / MATERNITY / DEATH INSURANCE		
1. Basic data		
A. Number of persons eligible		
1. Contributors		
2. Beneficiaries		
B. Financial data (FF million)		
1. Total charge to the industry (contributions)		
2. Expenditure		
(a) Benefits		
(b) Net total expenditure (benefits + other expenditure — other revenue)		
2. Calculation		
A. Charge per worker employed		
$\frac{\text{Total contributions}}{\text{Number of contributors}} = \text{(FF million)}$		
Charge per worker employed (FF)		C _G =
B. Benefit per beneficiary		
$\frac{\text{Total net expenditure}}{\text{Number of beneficiaries}} = \text{(FF million)}$		
Benefit per beneficiary (FF)	P _M =	P _G =

'Normal charge' to the industry per worker employed

$$C_M = \frac{P_M}{P_G} \times C_G = \text{FF}$$

'Normal charge' to the industry

'Normal charge' per worker employed × number
of workers employed:

$$C_M \times \text{number of contributors} = \text{FF million}$$

Annex 1 (*cont'd*)Class of insurance: Sickness/maternity/death (*cont'd*) **FORM 3e**Country: FRANCE (Gainfully employed and
others covered + pensioners and
others covered — benefits in kind and
death grant)

Date:

	Mines scheme	General scheme
IV. SICKNESS / MATERNITY / DEATH INSURANCE		
1. Basic data		
A. Number of persons eligible		
1. Contributors		
2. Beneficiaries		
B. Financial data (FF million)		
1. Charge to the industry (contributions)		
2. Expenditure		
(a) Benefits		
(b) Net total expenditure (benefits + other expenditure — other revenue)		
2. Calculations		
A. Charge per worker employed		
$\frac{\text{Total contributions}}{\text{Number of contributors}} \text{ (FF million)}$		
Charge per worker employed (FF)		$C_G =$
B. Benefit per beneficiary		
$\frac{\text{Total net expenditure}}{\text{Number of beneficiaries}} \text{ (FF million)}$		
Benefit per beneficiary (FF) = P		$P_G =$
	$P_M =$	

'Normal charge' to the industry per worker employed

$$C_M = \frac{P_M}{P_G} \times C_G = \text{FF}$$

'Normal charge' to the industry

'Normal charge' per worker employed $\times$ number
of workers employed:

$$C_M \times \text{number of contributors} = \text{FF million}$$

Annex 1 (cont'd)

Country: FRANCE

FORM 3f

Date:

(FF million)

V. SUMMARY

1. Primary insurance

A. 'Normal charge' on mines primary insurance

Invalidity/ old age

Sickness/maternity/death

(a) gainfully employed persons only (cash benefit)

(b) gainfully employed persons and others covered
(benefits in kind and death grant)

(c) pensioners and others covered

Total

B. Total charge (invalidity/old age; sickness/maternity) (see above)

'Normal charge' to mining industry

Remainder

of which:%⁽¹⁾ accounted for by coal industry
(Charbonnages de France)'Normal charge' overall in respect of primary insurance
(invalidity/old age and sickness/maternity) on Charbonnages de
France

	Actual charge	'Normal charge' (Article 4 of Decision 528/76/ECSC)	Excess charge (+)/ shortfall charge (-)
2. Supplementary insurance			
Executives (formerly CARIM)			
Clerical, technical and supervisory personnel (formerly CAREM)			
Workers (CARCOM)			
Total			
3. Conclusions			
(Primary insurance + supplementary insurance + charges carried forward)			
A. 'Normal charge' to Charbonnages de France			
1. Primary insurance			
2. Supplementary insurance			
Total			
B. Actual charge (employers' and workers' contributions)			
1. Primary insurance			
2. Supplementary insurance			
Total			
C. Excess charge (B-A)			

The actual charge to the Charbonnages de France is thus FF million above/below the
'normal charge' under Article 4 of Decision 528/76/ECSC.

⁽¹⁾ The Charbonnages de France's share of the volume of wages in the mining industry as a whole subjected to a contri-
bution ceiling amounted in 19..... to%.

Year x =

(£ million)

Origin of funds	Amount	Purpose
Total		

ANNEX 2

(Ref. Articles 2 (1) (3) and 5)

AIDS TOWARD COVERING THE COSTS OF INHERITED LIABILITIES ARISING FROM
PIT CLOSURES

Country:

Date:

Coalfield:

Year x =

Undertaking:

(... million)

	Estimated total inherited liabilities	of which:		
		financed by the undertaking		
		included in current production costs	covered by special purpose reserve	probable amount of aid
1. Specific costs incurred only by undertakings in which closures have occurred (cost categories in accordance with Article 5 (1) (1))				
(a)				
(b)				
(c)				
(d)				
(e)				
(f)				
(g)				
(h)				
(i)				
(j)				
(k)				
Total				
2. Specific costs also incurred by other undertakings (cost categories in accordance with Article 5 (1) (2))				
(a)				
(b)				
(c)				
Total				
3. Total specific costs (1 + 2)				
4. Lump-sum payments (in accordance with Article 5 (2))				

ANNEX 3

(Ref. Article 2 (1) (5))

TREND IN AVAILABILITIES OF COAL

Country:

FORM 1a

Date:

Year x =

(national figures in million metric tons)

	Actual tonnage	Estimate	Forecast years	
	x - 2	x - 1	x	x + 4
1. Production				
(a) Coalfield/undertaking				—
(b) Coalfield/undertaking				—
(c) Coalfield/undertaking				—
(d) Coalfield/undertaking				—
(e) Coalfield/undertaking				—
(f) Total production				
2. Withdrawals from stocks				
(a) From producers' stocks				—
(b) From importers' stocks				—
3. Imports				
(a) From other Community countries				—
(b) From non-member countries				—
(c) Total imports				
4. Total availabilities				(¹)

⁽¹⁾ Excluding withdrawals from stocks.

Annex 3 (cont'd)

TREND IN DISPOSITIONS OF COAL

Country:

FORM 1b

Date:

Year x =

(national figures in million metric tons)

	Actual tonnage	Estimate	Forecast years	
	x - 2	x - 1	x	x + 4
1. Home sales				
(a) Coking plants				—
(b) Gasworks				—
(c) Power stations				
— Pithead stations				—
— Public stations				—
— Other industrial stations				—
Total power stations				
(d) Briquetting plants				—
(e) Industrial consumers (excluding industrial power stations)				—
(f) Private households and small consumers				—
(g) Other consumers				—
(h) Total home sales				
2. Additions to stocks				
(a) To producers' stocks				—
(b) To importers' stocks				—
3. Exports				
(a) To other Community countries				—
(b) To non-member countries				—
(c) Total exports				
4. Total dispositions				(¹)

⁽¹⁾ Excluding additions to stocks

Annex 3 (cont'd)

TREND IN AVAILABILITIES OF COKE
(excluding gasworks coke)

FORM 2a

Country:

Date:

Year x =

(million metric tons)

	Actual tonnage	Estimate	Forecast year
	x — 2	x — 1	x
1. Production			
(a) Mine-owned coking plants			
(b) Steelworks-owned coking plants			
(c) Independent coking plants			
(d) Total production			
2. Withdrawals from producers' stocks			
3. Imports			
(a) From other Community countries			
(b) From non-member countries			
(c) Total imports			
4. Total availabilities			

Annex 3 (cont'd)

TREND IN DISPOSITIONS OF COKE

(excluding gasworks coke)

Form 2b

Country:

Date:

Year x =

(million metric tons)

	Actual tonnage	Estimate	Forecast
	x-2	x-1	x
1. Home sales			
(a) To steel industry			
(b) To other industries			
(c) To private households			
(d) Other sales			
(e) Total (a+b+c+d)			
2. Additions to producers' stocks			
3. Exports			
(a) To other Community countries			
(b) To non-member countries			
(c) Total exports			
4. Total dispositions			

ANNEX 4

(Ref. Article 2 (1) (6))

TRENDS IN PRODUCTION CAPACITY OF PITS

Country:

Date:

Year x =

(million metric tons/year)

	Present production capacity	Estimates for x + 4		
		Closures	New or extended pits	Capacity in x + 4 (1 - 2 + 3)
	1	2	3	4
(a) Coalfield/undertaking				
(b) Coalfield/undertaking				
(c) Coalfield/undertaking				
(d) Coalfield/undertaking				
(e) Coalfield/undertaking				
Total production capacity (a+b+c+d+e)				

ANNEX 5

(Ref. Article 2 (1) (7))

PLANNED CLOSURES AND PARTIAL CLOSURES

Country: FORM 1
 Coalfield: Date:
 Undertaking: Year x =

	Closure	Partial closure
1. Pit:		
2. Production ('000 metric tons) in	x — 2	
	x — 1	
	x	
3. Number employed at beginning of	x — 2	
	x — 1	
	x	
4. Number employed underground at beginning of	x — 2	
	x — 1	
	x	
4. Number employed under age 50 at beginning of	x — 2	
	x — 1	
	x	
6. Expected disposition of personnel at end of x		
(a) Number re-employed:		
(a ₁) in other pits of the same undertaking		
(a ₂) in other sectors of industry		
(b) Number not re-employed:		
(b ₁) pensioned		
(b ₂) unemployed		
under age 50		
over age 50		
(c) Decision pending		

Annex 5 (cont'd)

TRENDS IN REGIONAL PROBLEMS AND EMPLOYMENT IN MINING AREAS
AFFECTED BY THE PROPOSED CLOSURES IN FORM 1 OF THIS ANNEX ⁽¹⁾

Country: FORM 2
 Region: Date:
 Coalfield: Year x =

		Actual figure	Forecast year
		x - 2	x
I. BASIC DATA ON REGION			
A. Total population	(number)		
of which: working population	(number)		
of which: employed	(number)		
unemployed	(number)		
B. Gross regional product of region	(million)		
C. Pattern of economy			
Number employed in industry			
agriculture			
services			
	Total (number)		
D. Number of unfilled vacancies in region			
II. DATA ON COAL INDUSTRY IN REGION			
Total number employed			
(a) Departures:			
natural wastage (pensioning)			
redundancies			
	Total (number)		
(b) Intake:			
Number of redundant workers re-employed			
Number of new entrants			
	Total (number)		

⁽¹⁾ If possible, the relevant regional programme should be attached.

ANNEX 6

(Ref. Article 7)

INVESTMENT AIDS

Country:

Coalfield:

Date:

Undertaking:

Year x =

A. Investment aids under Article 7 (1)

Project: (a)

(particulars on separate sheet)

1. Probable amount of non-repayable aid (..... million)
2. Government credits with interest subsidy
3. Credits for which application has been made to the Commission under Article 54 of the ECSC Treaty
4. Probable year of completion of project
5. Probable annual production capacity after completion of project (million metric tons)

B. Investment aids under Article 7 (2)

1. Description of investment project or programme (particulars on separate sheet)
2. Financial data (..... million)

	Total capital expenditure planned	of which: aid	Subsidy under Article 54	Remarks on form of aid ⁽¹⁾
Project (a)				(a) (b)
Project (b)				
Project (c)				
Investment programme				

⁽¹⁾ (a) = non-repayable aid.
 (b) = interest subsidy.

ANNEX 7

(Ref. Article 8)

AIDS TOWARD RECRUITMENT, TRAINING, ADAPTATION AND
STABILIZATION OF LABOUR FORCE

Country:

Coalfield:

Date:

Undertaking:

Year x =

1. Reasons for aids and for amount thereof (on separate sheet)

2. Financial data

	Number of employees benefiting	Total expenditure	Aid	Form of total expenditure (1)	Form of aid (1)
		(..... million)			
Purpose:					
recruitment					
training					
adaptation					
stabilization					
Total					

3. Is the total expenditure given under 2 included wholly or partly in the costs of current production? yes/no

4. If so, what proportion? million

⁽¹⁾ Indicate method of payment and payee(s).

ANNEX 8

(Ref. Article 9)

AID TOWARDS THE BUILDING-UP AND HOLDING OF EXCEPTIONAL (PERIODIC) STOCKS

Country:

Coalfield:

Date:

Undertaking:

Year x =

	Actual tonnage	Estimate	Forecast
	x — 2	x — 1	x
	National figures in million metric tons		
A. Production			
B. Total stocks at end of year:			
Coal			
Coke:			
of which:			
marketable coal			
marketable coke			

C. Storage costs

	Values in national currency	
	per metric ton of marketable coal	per metric ton of marketable coke
1. Average value of products held in store		
2. Cost of stocking		
3. Cost of destocking		
4. Net storage costs p.a.		
of which:		
depreciation		
service of capital		
other costs exclusive of write-down		
Total 4		

5. Are the costs listed under 2, 3 and 4 included wholly or partly in the costs of current production? yes/no

6. If so, what proportion? million

D. Aid in forecast year

	Coal	Coke ⁽¹⁾
Tonnage eligible for aid ⁽¹⁾ ('000 metric tons)		
Rate of aid per metric ton (national currency)		
Amount of aid (....million)		

⁽¹⁾ The quantities which result from unfulfilled obligations of long-term contracts should be deducted.

ANNEX 9

(Ref. Article 10)

AID FOR THE BUILDING-UP AND HOLDING OF LONG-TERM SECURITY STOCKS

Country:

Coalfield:

Date:

Undertaking:

Year x =

A. Security stocks built-up on Government initiative:

Coal million metric tons

Coke million metric tons

B. Cost of stocking, destocking, depreciation, capital service and other costs including write-down.

Annual costs per metric ton of coal

Annual costs per metric ton of coke

C. Expected amount of aid in year x:

— per metric ton of coal

— per metric ton of coke

D. Are the costs listed under B included wholly or partly in current production costs? yes/no

E. If so, what proportion?

..... million

ANNEX 10

(Ref. Article 11)

AID TO ENCOURAGE THE SALE OF COMMUNITY STEAM COAL

Country:

Coalfield:

Date:

Undertaking:

Year x =

1. Description of measure

2. Probable amount of aid million

3. Quantity of steam coal aided ('000 metric tons)

ANNEX 11

(Ref. Article 12)

AIDS TOWARD COVERAGE OF OPERATING LOSSES

(pit costs)

Country: FORM 1a
 Coalfield: Date:
 Undertaking:

1. Aid is to be granted pursuant to:

- Article 12 (1) (1)
- Article 12 (1) (2) ⁽¹⁾

	Past reference basis 19... to 19...	Forecast year x = 19...
2. Basic data		
(a) National production ('000 metric tons)		
(b) Output per underground shift (national figures in kg)		
(c) Gross hourly wage underground (national figures)		
3. Production costs ⁽²⁾ (in national currency per metric ton produced)		
(a) Labour costs, direct		
(b) Materials costs, direct		
(c) Depreciation for wear and tear, direct		
(d) Service of operating capital, direct		
(e) Other costs		
(f) Total (a to e inclusive) ⁽²⁾		
Less reduction in costs ⁽³⁾ in the form of aid under		
Article 4 (national currency per metric ton produced)		
Article 5 (national currency per metric ton produced)		
Article 7 ⁽⁴⁾ (national currency per metric ton produced)		
Article 8 (national currency per metric ton produced)		
Article 9 (national currency per metric ton produced)		
Article 10 (national currency per metric ton produced)		
(g) Total deductions		
(h) Remaining production costs (f minus g)		

⁽¹⁾ Delete as necessary.⁽²⁾ Breakdown as in quarterly cost returns made by associations of undertakings to the Commission.⁽³⁾ To be deducted where the above production costs include costs refunded in the form of aid.⁽⁴⁾ To be deducted where the above production costs include depreciation referring to capital assets, subsidized under Article 7.

Annex 11 (*cont'd*)AIDS TOWARD COVERAGE OF OPERATING LOSSES (*cont'd*)

(pit revenues and results)

Country: FORM 1b
 Coalfield: Date:
 Undertaking:

	Past reference basis 19 .. to 19...	Forecast year x =
4. Revenue (in national currency per metric ton produced)		
(a) separate items		
(a ₁) Sales to coking plants:		
tonnage ('000 metric tons)		
revenue per metric ton		
(a ₂) Sales to power stations		
tonnage ('000 metric tons)		
revenue per metric ton		
(b) Average overall revenue ⁽¹⁾ per metric ton produced		
plus ⁽²⁾		
coking coal aid under Decision 73/287/ECSC		
steam coal aid pursuant to Article 11		
(c) Total revenue (including aids)		
5. Operating loss eligible for aid (4c—3h) per metric ton		
6. Aid per metric ton applied for		

⁽¹⁾ Breakdown as in quarterly revenue returns made by associations of undertakings to the Commission.

⁽²⁾ To be added only where the average overall revenue per metric ton produced does *not* include aids for the production of coking coal and steam coal.

ANNEX 12

(Ref. Article 2 (1) (1))

INDIRECT FINANCIAL MEASURES IN AID OF THE COAL INDUSTRY

Country: Date:

<i>(figures in national currency)</i>		
No	Description of measure	Amount of aid in forecast year 19.....
1.		
2.		
3		

ANNEX 13

EXPLANATORY NOTES TO FORMS IN ANNEXES 1 to 12

1. The basic data and itemized calculations with regard to State measures for the financing of social security benefits should be notified on the forms in Annex 1.

The 'normal charge' referred to in Article 4 of Decision 528/76/ECSC should be calculated according to the following formula:

$$C_M = \frac{P_M}{P_G} \times C_G$$

where:

C_M = normal charge per person employed in the mines scheme,

P_M = benefit per beneficiary in the mines scheme,

P_G = benefit per beneficiary in the general scheme,

C_G = charge per person employed in the general scheme.

2. Forward estimates of trends in production, imports and sales of coal and coke should be entered, in the form of estimates, on the forms in Annex 3. Care should be taken to see that 'total availabilities' tally with 'total dispositions'.

Annex 3 forms are to be completed by all Member States, irrespective of whether they possess a coal industry or provide aids.

3. Annexes 4 and 5 are to be completed by Member States opening new pits or closing pits.
 4. In notifying aids towards the building and holding of exceptional periodic stocks on the form in Annex 8, the forecast level of stocks should be given not only for the end of 'year x' but also, where appropriate, for the month in which stocks are expected to rise above one-twelfth of annual production.
 5. Other direct aids should be notified to the Commission on the forms in Annexes 2, 6, 7, 9, 10 and 11.
 6. Indirect financial measures in aid of the Community coal industry should be notified on the form in Annex 12.
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