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I *Legislative acts*

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EN

Acts whose titles are printed in light type are those relating to day-to-day management of agricultural matters, and are generally valid for a limited period.

The titles of all other acts are printed in bold type and preceded by an asterisk.

All amounts in this budget document are expressed in euro unless otherwise indicated.

Any revenue provided for in Article 21(2) and (3) of the Financial Regulation, entered in Titles 5 and 6 of the statement of revenue, may lead to additional appropriations being entered on the headings which bore the initial expenditure giving rise to the corresponding revenue.

Figures for outturn refer to all authorised appropriations, including budget appropriations, additional appropriations and earmarked revenue.

Budgetary remarks are only executable insofar as they do not modify or extend the scope of an existing legal base, impinge on the administrative autonomy of institutions and can be covered by available resources (as indicated in the annex to the executability letter dated 28 October 2015).

I

(Legislative acts)

**DEFINITIVE ADOPTION (EU, Euratom) 2020/1157
of Amending budget No 5 of the European Union for the financial year 2020**

THE PRESIDENT OF THE EUROPEAN PARLIAMENT,

having regard to the Treaty on the Functioning of the European Union, and in particular Article 314(4)(a) and (9) thereof,

having regard to the Treaty establishing the European Atomic Energy Community, and in particular Article 106a thereof,

having regard to Council Decision 2014/335/EU, Euratom of 26 May 2014 on the system of own resources of the European Union ⁽¹⁾,

having regard to Regulation (EU, Euratom) 2018/1046 of the European Parliament and of the Council of 18 July 2018 on the financial rules applicable to the general budget of the Union, amending Regulations (EU) No 1296/2013, (EU) No 1301/2013, (EU) No 1303/2013, (EU) No 1304/2013, (EU) No 1309/2013, (EU) No 1316/2013, (EU) No 223/2014, (EU) No 283/2014 and Decision No 541/2014/EU and repealing Regulation (EU, Euratom) No 966/2012 ⁽²⁾,

having regard to Council Regulation (EU, Euratom) No 1311/2013 of 2 December 2013 laying down the multiannual financial framework for the years 2014-2020 ⁽³⁾,

having regard to the Interinstitutional Agreement of 2 December 2013 between the European Parliament, the Council and the Commission on budgetary discipline, on cooperation in budgetary matters and on sound financial management ⁽⁴⁾,

having regard to the general budget of the European Union for the financial year 2020, as definitively adopted on 27 November 2019 ⁽⁵⁾,

having regard to Draft amending budget No 5 of the European Union for the financial year 2020, which the Commission adopted on 3 June 2020,

having regard to the position on Draft amending budget No 5/2020 which the Council adopted on 24 June 2020 and forwarded to Parliament on the following day,

having regard to Parliament's approval of 10 July 2020 of the Council position,

having regard to Rules 94 and 96 of Parliament's Rules of Procedure,

⁽¹⁾ OJ L 168, 7.6.2014, p. 105.

⁽²⁾ OJ L 193, 30.7.2018, p. 1.

⁽³⁾ OJ L 347, 20.12.2013, p. 884.

⁽⁴⁾ OJ C 373, 20.12.2013, p. 1.

⁽⁵⁾ OJ L 57, 27.2.2020.

DECLARES:

Sole Article

The procedure under Article 314 of the Treaty on the Functioning of the European Union is complete and Amending budget No 5 of the European Union for the financial year 2020 has been definitively adopted.

Done at Brussels, 10 July 2020.

The President

D. M. SASSOLI

A. INTRODUCTION AND FINANCING OF THE GENERAL BUDGET

FINANCING OF THE GENERAL BUDGET

Appropriations to be covered during the financial year 2020 pursuant to Article 1 of Council Decision 2014/335/EU, Euratom of 26 May 2014 on the system of own resources of the European Union

EXPENDITURE

Description	Budget 2020 ⁽¹⁾	Budget 2019 ⁽²⁾	Change (%)
1. Smart and inclusive growth	72 353 828 442	67 556 947 173	+ 7,10
2. Sustainable growth: natural resources	57 904 492 439	57 399 857 331	+ 0,88
3. Security and citizenship	5 278 527 141	3 527 434 894	+ 49,64
4. Global Europe	9 112 061 191	9 358 295 603	– 2,63
5. Administration	10 274 196 704	9 944 904 743	+ 3,31
6. Compensation	p.m.	p.m.	—
Special instruments	690 998 208	705 051 794	– 1,99
Total expenditure ⁽³⁾	155 614 104 125	148 492 491 538	+ 4,80

⁽¹⁾ The figures in this column correspond to those in the 2020 budget (OJ L 57, 27.2.2020, p. 1) plus amending budgets No 1 to 5/2020.
⁽²⁾ The figures in this column correspond to those in the 2019 budget (OJ L 67, 7.3.2019, p. 1) plus amending budgets No 1 to 3/2019.
⁽³⁾ The third subparagraph of Article 310(1) of the Treaty on the Functioning of the European Union reads: 'The revenue and expenditure shown in the budget shall be in balance'.

REVENUE

Description	Budget 2020 ⁽¹⁾	Budget 2019 ⁽²⁾	Change (%)
Miscellaneous revenue (Titles 4 to 9)	1 928 450 061	1 894 392 136	+ 1,80
Surplus available from the preceding financial year (Chapter 3 0, Article 3 0 0)	3 218 373 955	1 802 988 329	+78,50
Repayment of the surplus of the Guarantee Fund for external actions (Chapter 3 0, Article 3 0 2)	p.m.	p.m.	—
Net balance of own resources accruing from VAT and GNI-based own resources for earlier years (Chapters 3 1, 3 2 and 3 3)	p.m.	p.m.	—
Total revenue for Titles 3 to 9	5 146 824 016	3 697 380 465	+39,20
Net amount of customs duties and sugar levies (Chapters 1 1 and 1 2)	22 156 900 000	21 471 164 786	+ 3,19
VAT-based own resource at the uniform rate (Tables 1 and 2, Chapter 1 3)	18 945 245 250	17 738 667 150	+ 6,80
Remainder to be financed by the additional resource (GNI-based own resource, Table 3, Chapter 1 4)	109 365 134 859	105 585 279 137	+ 3,58
Appropriations to be covered by the own resources referred to in Article 2 of Decision 2014/335/EU, Euratom ⁽³⁾	150 467 280 109	144 795 111 073	+ 3,92
Total revenue ⁽⁴⁾	155 614 104 125	148 492 491 538	+ 4,80

⁽¹⁾ The figures in this column correspond to those in the 2020 budget (OJ L 57, 27.2.2020, p. 1) plus amending budgets No 1 to 5/2020.
⁽²⁾ The figures in this column correspond to those in the 2019 budget (OJ L 67, 7.3.2019, p. 1) plus amending budgets No 1 to 3/2019.
⁽³⁾ The own resources for the 2020 budget are determined on the basis of the budget forecasts adopted at the 175th meeting of the Advisory Committee on Own Resources on 24 May 2019.
⁽⁴⁾ The third subparagraph of Article 310(1) of the Treaty on the Functioning of the European Union reads: 'The revenue and expenditure shown in the budget shall be in balance'.

TABLE 1

Calculation of capping of harmonised value added tax (VAT) bases to point (b) of Article 2(1) of Decision 2014/335/EU, Euratom

Member State	1 % of non-capped VAT base	1 % of gross national income	Capping rate (in %)	1 % of gross national income multiplied by capping rate	1 % of capped VAT base ⁽¹⁾	Member States whose VAT base is capped
	(1)	(2)	(3)	(4)	(5)	(6)
Belgium	2 064 785 000	4 828 731 000	50	2 414 365 500	2 064 785 000	
Bulgaria	294 223 000	619 079 000	50	309 539 500	294 223 000	
Czechia	954 547 000	2 157 592 000	50	1 078 796 000	954 547 000	
Denmark	1 236 816 000	3 248 081 000	50	1 624 040 500	1 236 816 000	
Germany	15 101 735 000	36 775 058 000	50	18 387 529 000	15 101 735 000	
Estonia	137 193 000	280 639 000	50	140 319 500	137 193 000	
Ireland	960 910 000	2 784 713 000	50	1 392 356 500	960 910 000	
Greece	766 480 000	1 973 712 000	50	986 856 000	766 480 000	
Spain	5 902 319 000	12 978 152 000	50	6 489 076 000	5 902 319 000	
France	11 424 424 000	25 387 121 000	50	12 693 560 500	11 424 424 000	
Croatia	353 644 000	551 259 000	50	275 629 500	275 629 500	Croatia
Italy	7 379 229 000	18 340 730 000	50	9 170 365 000	7 379 229 000	Cyprus
Cyprus	147 038 000	219 566 000	50	109 783 000	109 783 000	
Latvia	127 770 000	328 766 000	50	164 383 000	127 770 000	Luxembourg
Lithuania	201 136 000	483 628 000	50	241 814 000	201 136 000	
Luxembourg	322 993 000	442 746 000	50	221 373 000	221 373 000	
Hungary	612 612 000	1 437 840 000	50	718 920 000	612 612 000	Malta
Malta	94 154 000	132 750 000	50	66 375 000	66 375 000	
Netherlands	3 436 775 000	8 302 270 000	50	4 151 135 000	3 436 775 000	Portugal
Austria	1 867 511 000	4 131 641 000	50	2 065 820 500	1 867 511 000	
Poland	2 664 822 000	5 358 014 000	50	2 679 007 000	2 664 822 000	
Portugal	1 102 521 000	2 105 933 000	50	1 052 966 500	1 052 966 500	
Romania	804 913 000	2 266 156 000	50	1 133 078 000	804 913 000	
Slovenia	236 104 000	507 667 000	50	253 833 500	236 104 000	
Slovakia	363 409 000	999 569 000	50	499 784 500	363 409 000	
Finland	1 051 297 000	2 487 111 000	50	1 243 555 500	1 051 297 000	
Sweden	2 102 533 000	4 888 140 000	50	2 444 070 000	2 102 533 000	
United Kingdom	12 053 669 000	25 863 586 000	50	12 931 793 000	12 053 669 000	
Total	73 765 562 000	169 880 250 000		84 940 125 000	73 471 339 000	

⁽¹⁾ The base to be used does not exceed 50 % of GNI.

TABLE 2

Breakdown of own resources accruing from VAT pursuant to point (b) of Article 2(1) of Decision 2014/335/EU, Euratom (Chapter 1 3)

Member State	1 % of capped VAT base	Uniform rate of VAT own resource (in %)	VAT-based own resource at uniform rate
	(1)	(2)	(3) = (1) × (2)
Belgium	2 064 785 000	0,30	619 435 500
Bulgaria	294 223 000	0,30	88 266 900
Czechia	954 547 000	0,30	286 364 100
Denmark	1 236 816 000	0,30	371 044 800
Germany	15 101 735 000	0,15	2 265 260 250
Estonia	137 193 000	0,30	41 157 900
Ireland	960 910 000	0,30	288 273 000
Greece	766 480 000	0,30	229 944 000
Spain	5 902 319 000	0,30	1 770 695 700
France	11 424 424 000	0,30	3 427 327 200
Croatia	275 629 500	0,30	82 688 850
Italy	7 379 229 000	0,30	2 213 768 700
Cyprus	109 783 000	0,30	32 934 900
Latvia	127 770 000	0,30	38 331 000
Lithuania	201 136 000	0,30	60 340 800
Luxembourg	221 373 000	0,30	66 411 900
Hungary	612 612 000	0,30	183 783 600
Malta	66 375 000	0,30	19 912 500
Netherlands	3 436 775 000	0,15	515 516 250
Austria	1 867 511 000	0,30	560 253 300
Poland	2 664 822 000	0,30	799 446 600
Portugal	1 052 966 500	0,30	315 889 950
Romania	804 913 000	0,30	241 473 900
Slovenia	236 104 000	0,30	70 831 200
Slovakia	363 409 000	0,30	109 022 700
Finland	1 051 297 000	0,30	315 389 100
Sweden	2 102 533 000	0,15	315 379 950
United Kingdom	12 053 669 000	0,30	3 616 100 700
Total	73 471 339 000		18 945 245 250

TABLE 3

Determination of uniform rate and breakdown of resources based on gross national income pursuant to point (c) of Article 2(1) of Decision 2014/335/EU, Euratom (Chapter 1 4)

Member State	1 % of gross national income	Uniform rate of 'additional base', own resource	'Additional base' own resource at uniform rate
	(1)	(2)	(3) = (1) × (2)
Belgium	4 828 731 000	0,6437778 ⁽¹⁾	3 108 629 856
Bulgaria	619 079 000		398 549 321
Czechia	2 157 592 000		1 389 009 847
Denmark	3 248 081 000		2 091 042 464
Germany	36 775 058 000		23 674 966 204
Estonia	280 639 000		180 669 160
Ireland	2 784 713 000		1 792 736 429
Greece	1 973 712 000		1 270 631 984
Spain	12 978 152 000		8 355 046 238
France	25 387 121 000		16 343 665 093
Croatia	551 259 000		354 888 310
Italy	18 340 730 000		11 807 354 945
Cyprus	219 566 000		141 351 718
Latvia	328 766 000		211 652 255
Lithuania	483 628 000		311 348 973
Luxembourg	442 746 000		285 030 049
Hungary	1 437 840 000		925 649 483
Malta	132 750 000		85 461 504
Netherlands	8 302 270 000		5 344 817 177
Austria	4 131 641 000		2 659 858 784
Poland	5 358 014 000		3 449 370 505
Portugal	2 105 933 000		1 355 752 929
Romania	2 266 156 000		1 458 900 941
Slovenia	507 667 000		326 824 748
Slovakia	999 569 000		643 500 339
Finland	2 487 111 000		1 601 146 866
Sweden	4 888 140 000		3 146 876 051
United Kingdom	25 863 586 000		16 650 402 686
Total	169 880 250 000		109 365 134 859

⁽¹⁾ Calculation of rate: $(109\,365\,134\,859) / (169\,880\,250\,000) = 0,643777807361362$.

TABLE 4

Calculation of the gross reduction in GNI contribution for Denmark, Netherlands and Sweden and its financing, pursuant to Article 2(5) of Decision 2014/335/EU, Euratom (Chapter 1 6)

Member State	Gross reduction	Percentage share of GNI base	GNI key applied to the gross reduction	Financing of the reduction	
	(1)	(2)	(3)	(4) = (1) + (3)	
Belgium	- 146 333 564	2,84	32 315 590	32 315 590	
Bulgaria		0,36	4 143 098	4 143 098	
Czechia		1,27	14 439 375	14 439 375	
Denmark		1,91	21 737 317	- 124 596 247	
Germany		21,65	246 111 806	246 111 806	
Estonia		0,17	1 878 136	1 878 136	
Ireland		1,64	18 636 293	18 636 293	
Greece		1,16	13 208 785	13 208 785	
Spain		7,64	86 854 423	86 854 423	
France		14,94	169 899 670	169 899 670	
Croatia		0,32	3 689 222	3 689 222	
Italy		10,80	122 742 708	122 742 708	
Cyprus		0,13	1 469 414	1 469 414	
Latvia		0,19	2 200 219	2 200 219	
Lithuania		0,28	3 236 611	3 236 611	
Luxembourg		0,26	2 963 014	2 963 014	
Hungary		0,85	9 622 538	9 622 538	
Malta		0,08	888 410	888 410	
Netherlands		- 782 321 749	4,89	55 561 753	- 726 759 996
Austria			2,43	27 650 415	27 650 415
Poland			3,15	35 857 741	35 857 741
Portugal		1,24	14 093 655	14 093 655	
Romania		1,33	15 165 924	15 165 924	
Slovenia		0,30	3 397 489	3 397 489	
Slovakia		0,59	6 689 472	6 689 472	
Finland		1,46	16 644 634	16 644 634	
Sweden	- 208 243 919	2,88	32 713 177	- 175 530 742	
United Kingdom		15,22	173 088 343	173 088 343	
Total	- 1 136 899 232	100,00	1 136 899 232	0	
EU GDP price deflator, in EUR (spring 2019 economic forecast) : (a) 2011 EU-27 = 100,0000 / (b) 2013 EU-27 = 102,9958 (c) 2013 EU-28 = 102,9874 / (d) 2020 EU-28 = 112,5551					
Lump sum for Netherlands: in 2020 prices: 695 000 000 EUR × [(b/a) × (d/c)] = 782 321 749 EUR					
Lump sum for Sweden: in 2020 prices: 185 000 000 EUR × [(b/a) × (d/c)] = 208 243 919 EUR					
Lump sum for Denmark: in 2020 prices: 130 000 000 EUR × [(b/a) × (d/c)] = 146 333 564 EUR					

TABLE 5

Correction of budgetary imbalances for the United Kingdom for the year 2019 pursuant to Article 4 of Decision 2014/335/EU, Euratom (Chapter 1 5)

Description	Coefficient ⁽¹⁾ (%)	Amount
1. United Kingdom's share (in %) of notional uncapped VAT base	16,3037	
2. United Kingdom's share (in %) of enlargement-adjusted total allocated expenditure	7,3015	
3. (1) – (2)	9,0022	
4. Total allocated expenditure		130 008 765 143
5. Enlargement related expenditure ⁽²⁾		30 694 725 929
6. Enlargement-adjusted total allocated expenditure = (4) – (5)		99 314 039 214
7. United Kingdom's correction original amount = (3) × (6) × 0,66		5 900 699 546
8. United Kingdom's advantage ⁽³⁾		690 825 371
9. Core United Kingdom's correction = (7) – (8)		5 209 874 175
10. Windfall gains deriving from traditional own resources ⁽⁴⁾		- 44 494 806
11. Correction for the United Kingdom = (9) – (10)		5 254 368 981
⁽¹⁾ Rounded percentages. ⁽²⁾ The amount of enlargement-related expenditure corresponds to total allocated expenditure in 13 Member States (that have acceded to the Union after 30 April 2004), except for agricultural direct payments and market-related expenditure as well as that part of rural development expenditure originating from the EAGGF, Guarantee Section. ⁽³⁾ The 'UK advantage' corresponds to the effects arising for the United Kingdom from the changeover to capped VAT and the introduction of the GNP/GNI-based own resource. ⁽⁴⁾ These windfall gains correspond to the net gains of the United Kingdom resulting from the increase — from 10 to 20 % as of 1 January 2014 — in the percentage of traditional own resources retained by Member States to cover the collection costs of traditional own resources (TOR).		

TABLE 6

Calculation of the financing of the correction for the United Kingdom amounting to EUR – 5 254 368 981 (Chapter 1 5)

Member State	Percentage share of GNI base	Shares without the United Kingdom	Shares without Germany, the Netherlands, Austria, Sweden and the United Kingdom	Three quarters of the share of Germany, the Netherlands, Austria and Sweden in column 2	Column 4 distributed in accordance with column 3	Financing scale	Financing scale applied to the correction
	(1)	(2)	(3)	(4)	(5)	(6) = (2) + (4) + (5)	(7)
Belgium	2,84	3,35	5,37		1,51	4,87	255 665 342
Bulgaria	0,36	0,43	0,69		0,19	0,62	32 778 186
Czechia	1,27	1,50	2,40		0,68	2,17	114 237 363
Denmark	1,91	2,26	3,61		1,02	3,27	171 975 150
Germany	21,65	25,54	0,00	-19,15	0,00	6,38	335 429 452
Estonia	0,17	0,19	0,31		0,09	0,28	14 858 907
Ireland	1,64	1,93	3,10		0,87	2,81	147 441 346
Greece	1,16	1,37	2,19		0,62	1,99	104 501 525
Spain	7,64	9,01	14,43		4,07	13,08	687 150 240
France	14,94	17,63	28,23		7,95	25,58	1 344 164 122
Croatia	0,32	0,38	0,61		0,17	0,56	29 187 341
Italy	10,80	12,74	20,40		5,75	18,48	971 081 015
Cyprus	0,13	0,15	0,24		0,07	0,22	11 625 294
Latvia	0,19	0,23	0,37		0,10	0,33	17 407 073
Lithuania	0,28	0,34	0,54		0,15	0,49	25 606 504
Luxembourg	0,26	0,31	0,49		0,14	0,45	23 441 937
Hungary	0,85	1,00	1,60		0,45	1,45	76 128 874
Malta	0,08	0,09	0,15		0,04	0,13	7 028 674
Netherlands	4,89	5,76	0,00	-4,32	0,00	1,44	75 725 942
Austria	2,43	2,87	0,00	-2,15	0,00	0,72	37 685 164
Poland	3,15	3,72	5,96		1,68	5,40	283 689 127
Portugal	1,24	1,46	2,34		0,66	2,12	111 502 190
Romania	1,33	1,57	2,52		0,71	2,28	119 985 468
Slovenia	0,30	0,35	0,56		0,16	0,51	26 879 289
Slovakia	0,59	0,69	1,11		0,31	1,01	52 923 874
Finland	1,46	1,73	2,77		0,78	2,51	131 684 305
Sweden	2,88	3,39	0,00	-2,55	0,00	0,85	44 585 277
United Kingdom	15,22	0,00	0,00		0,00	0,00	0
Total	100,00	100,00	100,00	-28,17	28,17	100,00	5 254 368 981

The calculations are made to 15 decimal places.

TABLE 7

Summary of financing ⁽¹⁾ of the general budget by type of own resource and by Member State

Member State	Traditional own resources (TOR)				VAT and GNI-based own resources, including adjustments						Total own resources ⁽²⁾
	Net sugar sector levies (80 %)	Net customs duties (80 %)	Total net traditional own resources (80 %)	Collection costs (20 % of gross TOR) (p. m.)	VAT-based own resource	GNI-based own resource	Reduction in favour of: Denmark, Netherlands and Sweden	United Kingdom correction	Total 'national contributions'	Share in total 'national contributions' (%)	
	(1)	(2)	(3) = (1) + (2)	(4)	(5)	(6)	(7)	(8)	(9) = (5) + (6) + (7) + (8)	(10)	(11) = (3) + (9)
Belgium	p.m.	2 264 600 000	2 264 600 000	566 150 000	619 435 500	3 108 629 856	32 315 590	255 665 342	4 016 046 288	3.13	6 280 646 288
Bulgaria	p.m.	113 700 000	113 700 000	28 425 000	88 266 900	398 549 321	4 143 098	32 778 186	523 737 505	0.41	637 437 505
Czechia	p.m.	316 800 000	316 800 000	79 200 000	286 364 100	1 389 009 847	14 439 375	114 237 363	1 804 050 685	1.41	2 120 850 685
Denmark	p.m.	372 700 000	372 700 000	93 175 000	371 044 800	2 091 042 464	- 124 596 247	171 975 150	2 509 466 167	1.96	2 882 166 167
Germany	p.m.	4 257 000 000	4 257 000 000	1 064 250 000	2 265 260 250	23 674 966 204	246 111 806	335 429 452	26 521 767 712	20.67	30 778 767 712
Estonia	p.m.	36 900 000	36 900 000	9 225 000	41 157 900	180 669 160	1 878 136	14 858 907	238 564 103	0.19	275 464 103
Ireland	p.m.	333 400 000	333 400 000	83 350 000	288 273 000	1 792 736 429	18 636 293	147 441 346	2 247 087 068	1.75	2 580 487 068
Greece	p.m.	193 100 000	193 100 000	48 275 000	229 944 000	1 270 631 984	13 208 785	104 501 525	1 618 286 294	1.26	1 811 386 294
Spain	p.m.	1 660 500 000	1 660 500 000	415 125 000	1 770 695 700	8 355 046 238	86 854 423	687 150 240	10 899 746 601	8.49	12 560 246 601
France	p.m.	1 823 600 000	1 823 600 000	455 900 000	3 427 327 200	16 343 665 093	169 899 670	1 344 164 122	21 285 056 085	16.59	23 108 656 085
Croatia	p.m.	41 300 000	41 300 000	10 325 000	82 688 850	354 888 310	3 689 222	29 187 341	470 453 723	0.37	511 753 723
Italy	p.m.	1 998 200 000	1 998 200 000	499 550 000	2 213 768 700	11 807 354 945	122 742 708	971 081 015	15 114 947 368	11.78	17 113 147 368
Cyprus	p.m.	27 100 000	27 100 000	6 775 000	32 934 900	141 351 718	1 469 414	11 625 294	187 381 326	0.15	214 481 326
Latvia	p.m.	47 000 000	47 000 000	11 750 000	38 331 000	211 652 255	2 200 219	17 407 073	269 590 547	0.21	316 590 547
Lithuania	p.m.	108 500 000	108 500 000	27 125 000	60 340 800	311 348 973	3 236 611	25 606 504	400 532 888	0.31	509 032 888
Luxembourg	p.m.	16 800 000	16 800 000	4 200 000	66 411 900	285 030 049	2 963 014	23 441 937	377 846 900	0.29	394 646 900
Hungary	p.m.	223 900 000	223 900 000	55 975 000	183 783 600	925 649 483	9 622 538	76 128 874	1 195 184 495	0.93	1 419 084 495
Malta	p.m.	14 700 000	14 700 000	3 675 000	19 912 500	85 461 504	888 410	7 028 674	113 291 088	0.09	127 991 088
Netherlands	p.m.	2 758 500 000	2 758 500 000	689 625 000	515 516 250	5 344 817 177	- 726 759 996	75 725 942	5 209 299 373	4.06	7 967 799 373
Austria	p.m.	222 900 000	222 900 000	55 725 000	560 253 300	2 659 858 784	27 650 415	37 685 164	3 285 447 663	2.56	3 508 347 663
Poland	p.m.	844 800 000	844 800 000	211 200 000	799 446 600	3 449 370 505	35 857 741	283 689 127	4 568 363 973	3.56	5 413 163 973
Portugal	p.m.	199 900 000	199 900 000	49 975 000	315 889 950	1 355 752 929	14 093 655	111 502 190	1 797 238 724	1.40	1 997 138 724
Romania	p.m.	206 000 000	206 000 000	51 500 000	241 473 900	1 458 900 941	15 165 924	119 985 468	1 835 526 233	1.43	2 041 526 233
Slovenia	p.m.	90 700 000	90 700 000	22 675 000	70 831 200	326 824 748	3 397 489	26 879 289	427 932 726	0.33	518 632 726
Slovakia	p.m.	107 700 000	107 700 000	26 925 000	109 022 700	643 500 339	6 689 472	52 923 874	812 136 385	0.63	919 836 385
Finland	p.m.	163 500 000	163 500 000	40 875 000	315 389 100	1 601 146 866	16 644 634	131 684 305	2 064 864 905	1.61	2 228 364 905
Sweden	p.m.	538 600 000	538 600 000	134 650 000	315 379 950	3 146 876 051	- 175 530 742	44 585 277	3 331 310 536	2.60	3 869 910 536
United Kingdom	p.m.	3 174 500 000	3 174 500 000	793 625 000	3 616 100 700	16 650 402 686	173 088 343	- 5 254 368 981	15 185 222 748	11.83	18 359 722 748
Total	p.m.	22 156 900 000	22 156 900 000	5 539 225 000	18 945 245 250	109 365 134 859	0	0	128 310 380 109	100,00	150 467 280 109

⁽¹⁾ p.m. (own resources + other revenue = total revenue = total expenditure); (150 467 280 109 + 5 146 824 016 = 155 614 104 125 = 155 614 104 125).

⁽²⁾ Total own resources as percentage of GNI: (150 467 280 109) / (16 988 025 000 000) = 0,89 %; own resources ceiling as percentage of GNI: 1,20 %.

B. GENERAL STATEMENT OF REVENUE BY BUDGET HEADING

Title	Heading	Budget 2020	Amending budget No 5/2020	New amount
1	OWN RESOURCES	150 299 280 109	168 000 000	150 467 280 109
3	SURPLUSES, BALANCES AND ADJUSTMENTS	3 218 373 955		3 218 373 955
4	REVENUE ACCRUING FROM PERSONS WORKING WITH THE INSTITUTIONS AND OTHER UNION BODIES	1 651 322 700		1 651 322 700
5	REVENUE ACCRUING FROM THE ADMINISTRATIVE OPERATION OF THE INSTITUTIONS	15 050 000		15 050 000
6	CONTRIBUTIONS AND REFUNDS IN CONNECTION WITH UNION AGREEMENTS AND PROGRAMMES	130 000 000		130 000 000
7	DEFAULT INTEREST AND FINES	115 000 000		115 000 000
8	BORROWING AND LENDING OPERATIONS	2 076 361		2 076 361
9	MISCELLANEOUS REVENUE	15 001 000		15 001 000
GRAND TOTAL		155 446 104 125	168 000 000	155 614 104 125

TITLE 1

OWN RESOURCES

- CHAPTER 1 1 — LEVIES AND OTHER DUTIES PROVIDED FOR UNDER THE COMMON ORGANISATION OF THE MARKETS IN SUGAR (POINT (A) OF ARTICLE 2(1) OF DECISION 2014/335/EU, EURATOM)
- CHAPTER 1 2 — CUSTOMS DUTIES AND OTHER DUTIES REFERRED TO IN POINT (A) OF ARTICLE 2(1) OF DECISION 2014/335/EU, EURATOM
- CHAPTER 1 3 — OWN RESOURCES ACCRUING FROM VALUE ADDED TAX PURSUANT TO POINT (B) OF ARTICLE 2(1) OF DECISION 2014/335/EU, EURATOM
- CHAPTER 1 4 — OWN RESOURCES BASED ON GROSS NATIONAL INCOME PURSUANT TO POINT (C) OF ARTICLE 2 (1) OF DECISION 2014/335/EU, EURATOM

Article Item	Heading	Budget 2020	Amending budget No 5/2020	New amount
	CHAPTER 1 1			
1 1 0	<i>Production levies related to the marketing year 2005/2006 and previous years</i>	p.m.		p.m.
1 1 1	<i>Sugar storage levies</i>	p.m.		p.m.
1 1 3	<i>Charges levied on non-exported C sugar, C isoglucose and C inulin syrup production, and on substituted C sugar and C isoglucose</i>	p.m.		p.m.
1 1 7	<i>Production charge</i>	p.m.		p.m.
1 1 8	<i>One-off amounts on additional sugar quotas and supplementary isoglucose quotas</i>	p.m.		p.m.
1 1 9	<i>Surplus amount</i>	p.m.		p.m.
	CHAPTER 1 1 — TOTAL	p.m.		p.m.
	CHAPTER 1 2			
1 2 0	<i>Customs duties and other duties referred to in point (a) of Article 2(1) of Decision 2014/335/EU, Euratom</i>	22 156 900 000		22 156 900 000
	CHAPTER 1 2 — TOTAL	22 156 900 000		22 156 900 000
	CHAPTER 1 3			
1 3 0	<i>Own resources accruing from value added tax pursuant to point (b) of Article 2(1) of Decision 2014/335/EU, Euratom</i>	18 945 245 250		18 945 245 250
	CHAPTER 1 3 — TOTAL	18 945 245 250		18 945 245 250
	CHAPTER 1 4			
1 4 0	<i>Own resources based on gross national income pursuant to point (c) of Article 2(1) of Decision 2014/335/EU, Euratom</i>	109 197 134 859	168 000 000	109 365 134 859
	CHAPTER 1 4 — TOTAL	109 197 134 859	168 000 000	109 365 134 859

CHAPTER 1 5 — CORRECTION OF BUDGETARY IMBALANCES**CHAPTER 1 6 — GROSS REDUCTION IN THE ANNUAL GNI-BASED CONTRIBUTION GRANTED TO CERTAIN MEMBER STATES**

Article Item	Heading	Budget 2020	Amending budget No 5/2020	New amount
1 5 0	CHAPTER 1 5			
	<i>Correction of budgetary imbalances granted to the United Kingdom in accordance with Articles 4 and 5 of Decision 2014/335/EU, Euratom</i>	0		0
	CHAPTER 1 5 — TOTAL	0		0
1 6 0	CHAPTER 1 6			
	<i>Gross reduction in the annual GNI-based contribution granted to certain Member States pursuant to Article 2(5) of Decision 2014/335/EU, Euratom</i>	0		0
	CHAPTER 1 6 — TOTAL	0		0
Title 1 — Total		150 299 280 109	168 000 000	150 467 280 109

TITLE 1

OWN RESOURCES

CHAPTER 1 4 — OWN RESOURCES BASED ON GROSS NATIONAL INCOME PURSUANT TO POINT (C) OF ARTICLE 2(1) OF DECISION 2014/335/EU, EURATOM

1 4 0 *Own resources based on gross national income pursuant to point (c) of Article 2(1) of Decision 2014/335/EU, Euratom*

Budget 2020	Amending budget No 5/2020	New amount
109 197 134 859	168 000 000	109 365 134 859

Remarks

The GNI-based resource is an 'additional' resource, providing the revenue required to cover expenditure in excess of the amount yielded by traditional own resources, VAT-based payments and other revenue in any particular year. By implication, the GNI-based resource ensures that the general budget of the Union is always balanced *ex ante*.

The GNI call rate is determined by the additional revenue needed to finance the budgeted expenditure not covered by the other resources (VAT-based payments, traditional own resources and other revenue). Thus a call rate is applied to the GNI of each of the Member States.

The rate to be applied to the Member States' gross national income for financial 2020 year is 0,6438 %.

Legal basis

Council Decision 2014/335/EU, Euratom of 26 May 2014 on the system of own resources of the European Union (OJ L 168, 7.6.2014, p. 105), and in particular point (c) of Article 2(1) thereof.

CHAPTER 1 4 — OWN RESOURCES BASED ON GROSS NATIONAL INCOME PURSUANT TO POINT (C) OF ARTICLE 2(1) OF DECISION 2014/335/EU, EURATOM (cont'd)

1 4 0 (cont'd)

Member State	Budget 2020	Amending budget No. 5/2020	New amount
Belgium	3 103 854 569	4 775 287	3 108 629 856
Bulgaria	397 937 094	612 227	398 549 321
Czechia	1 386 876 135	2 133 712	1 389 009 847
Denmark	2 087 830 333	3 212 131	2 091 042 464
Germany	23 638 598 177	36 368 027	23 674 966 204
Estonia	180 391 627	277 533	180 669 160
Ireland	1 789 982 538	2 753 891	1 792 736 429
Greece	1 268 680 117	1 951 867	1 270 631 984
Spain	8 342 211 730	12 834 508	8 355 046 238
France	16 318 558 959	25 106 134	16 343 665 093
Croatia	354 343 153	545 157	354 888 310
Italy	11 789 217 212	18 137 733	11 807 354 945
Cyprus	141 134 582	217 136	141 351 718
Latvia	211 327 127	325 128	211 652 255
Lithuania	310 870 698	478 275	311 348 973
Luxembourg	284 592 203	437 846	285 030 049
Hungary	924 227 557	1 421 926	925 649 483
Malta	85 330 223	131 281	85 461 504
Netherlands	5 336 606 797	8 210 380	5 344 817 177
Austria	2 655 772 872	4 085 912	2 659 858 784
Poland	3 444 071 794	5 298 711	3 449 370 505
Portugal	1 353 670 305	2 082 624	1 355 752 929
Romania	1 456 659 867	2 241 074	1 458 900 941
Slovenia	326 322 700	502 048	326 824 748
Slovakia	642 511 833	988 506	643 500 339
Finland	1 598 687 283	2 459 583	1 601 146 866
Sweden	3 142 042 014	4 834 037	3 146 876 051
United Kingdom	16 624 825 360	25 577 326	16 650 402 686
Article 1 4 0 — Total	109 197 134 859	168 000 000	109 365 134 859

SECTION III
COMMISSION

COMMISSION

EXPENDITURE

Title	Heading	Budget 2020		Amending budget No 5/2020		New amount	
		Commitments	Payments	Commitments	Payments	Commitments	Payments
01	ECONOMIC AND FINANCIAL AFFAIRS	550 910 219	1 501 374 219			550 910 219	1 501 374 219
02	INTERNAL MARKET, INDUSTRY, ENTREPRENEURSHIP AND SMES	3 203 612 540	2 706 787 634			3 203 612 540	2 706 787 634
03	COMPETITION	116 380 398	116 380 398			116 380 398	116 380 398
04	EMPLOYMENT, SOCIAL AFFAIRS AND INCLUSION	14 881 605 545	13 394 134 411			14 881 605 545	13 394 134 411
05	AGRICULTURE AND RURAL DEVELOPMENT	58 698 932 091	57 007 767 922			58 698 932 091	57 007 767 922
06	MOBILITY AND TRANSPORT	4 871 268 495	3 065 461 523			4 871 268 495	3 065 461 523
07	ENVIRONMENT	555 989 653	410 691 242			555 989 653	410 691 242
08	RESEARCH AND INNOVATION	7 987 937 964	7 093 573 238			7 987 937 964	7 093 573 238
09	COMMUNICATIONS NETWORKS, CONTENT AND TECHNOLOGY	2 684 291 569	2 310 507 713			2 684 291 569	2 310 507 713
10	DIRECT RESEARCH	452 584 121	446 424 944			452 584 121	446 424 944
11	MARITIME AFFAIRS AND FISHERIES	1 096 734 831	904 804 693			1 096 734 831	904 804 693
	<i>Reserves (40 02 41)</i>	67 843 000	64 300 000			67 843 000	64 300 000
		1 164 577 831	969 104 693			1 164 577 831	969 104 693
12	FINANCIAL STABILITY, FINANCIAL SERVICES AND CAPITAL MARKETS UNION	114 419 241	115 165 918			114 419 241	115 165 918
13	REGIONAL AND URBAN POLICY	42 744 008 381	36 327 905 306			42 744 008 381	36 327 905 306
14	TAXATION AND CUSTOMS UNION	177 055 750	170 293 750			177 055 750	170 293 750
15	EDUCATION AND CULTURE	4 828 897 829	4 457 288 075			4 828 897 829	4 457 288 075
16	COMMUNICATION	219 381 095	216 738 095			219 381 095	216 738 095
17	HEALTH AND FOOD SAFETY	668 839 926	625 083 932			668 839 926	625 083 932
18	MIGRATION AND HOME AFFAIRS	5 727 715 528	4 186 600 656			5 727 715 528	4 186 600 656
	<i>Reserves (40 02 41)</i>	1 003 000	1 003 000			1 003 000	1 003 000
		5 728 718 528	4 187 603 656			5 728 718 528	4 187 603 656

COMMISSION

Title	Heading	Budget 2020		Amending budget No 5/2020		New amount	
		Commitments	Payments	Commitments	Payments	Commitments	Payments
19	FOREIGN POLICY INSTRUMENTS	907 036 746	808 717 831			907 036 746	808 717 831
20	TRADE	119 662 291	118 971 291			119 662 291	118 971 291
21	INTERNATIONAL COOPERATION AND DEVELOPMENT	3 819 395 952	3 320 689 539			3 819 395 952	3 320 689 539
22	NEIGHBOURHOOD AND ENLARGEMENT NEGOTIATIONS	4 349 309 007	3 379 739 705	100 000 000	100 000 000	4 449 309 007	3 479 739 705
23	HUMANITARIAN AID AND CIVIL PROTECTION	1 740 017 691	1 536 881 622	485 000 000	68 000 000	2 225 017 691	1 604 881 622
24	FIGHT AGAINST FRAUD	84 569 600	80 879 853			84 569 600	80 879 853
25	COMMISSION'S POLICY COORDINATION AND LEGAL ADVICE	261 638 248	262 663 248			261 638 248	262 663 248
26	COMMISSION'S ADMINISTRATION	1 169 128 790	1 168 977 000			1 169 128 790	1 168 977 000
27	BUDGET	72 732 451	72 732 451			72 732 451	72 732 451
28	AUDIT	20 254 041	20 254 041			20 254 041	20 254 041
29	STATISTICS	162 101 479	159 101 479			162 101 479	159 101 479
30	PENSIONS AND RELATED EXPENDITURE	2 133 215 000	2 133 215 000			2 133 215 000	2 133 215 000
31	LANGUAGE SERVICES	410 651 078	410 651 078			410 651 078	410 651 078
32	ENERGY	2 399 423 663	1 870 314 222			2 399 423 663	1 870 314 222
33	JUSTICE AND CONSUMERS	285 532 215	281 548 093			285 532 215	281 548 093
34	CLIMATE ACTION	180 975 805	114 778 918			180 975 805	114 778 918
40	RESERVES	537 763 000	358 500 000			537 763 000	358 500 000
Total		168 302 818 233	151 220 902 040	585 000 000	168 000 000	168 887 818 233	151 388 902 040
Of which Reserves (40 02 41)		68 846 000	65 303 000			68 846 000	65 303 000

COMMISSION

TITLE 22

NEIGHBOURHOOD AND ENLARGEMENT NEGOTIATIONS

COMMISSION
TITLE 22 — NEIGHBOURHOOD AND ENLARGEMENT NEGOTIATIONS

TITLE 22

NEIGHBOURHOOD AND ENLARGEMENT NEGOTIATIONS

General summary of appropriations (2020 and 2019) and outturn (2018)

Title Chapter	Heading	Budget 2020		Amending budget No 5/2020		New amount	
		Commitments	Payments	Commitments	Payments	Commitments	Payments
22 01	ADMINISTRATIVE EXPENDITURE OF THE 'NEIGHBOURHOOD AND ENLARGEMENT NEGOTIATIONS' POLICY AREA	173 311 741	173 311 741			173 311 741	173 311 741
22 02	ENLARGEMENT PROCESS AND STRATEGY	1 476 821 144	1 239 777 074			1 476 821 144	1 239 777 074
22 04	EUROPEAN NEIGHBOURHOOD INSTRUMENT (ENI)	2 699 176 122	1 966 650 890	100 000 000	100 000 000	2 799 176 122	2 066 650 890
	Title 22 — Total	4 349 309 007	3 379 739 705	100 000 000	100 000 000	4 449 309 007	3 479 739 705

COMMISSION
TITLE 22 — NEIGHBOURHOOD AND ENLARGEMENT NEGOTIATIONS

TITLE 22
NEIGHBOURHOOD AND ENLARGEMENT NEGOTIATIONS

CHAPTER 22 04 — EUROPEAN NEIGHBOURHOOD INSTRUMENT (ENI)

Title Chapter Article Item	Heading	FF	Budget 2020		Amending budget No 5/2020		New amount	
			Commitments	Payments	Commitments	Payments	Commitments	Payments
22 04	EUROPEAN NEIGHBOURHOOD INSTRUMENT (ENI)							
22 04 01	Supporting cooperation with Mediterranean countries							
22 04 01 01	Mediterranean countries — Human rights, good governance and mobility	4	130 732 660	99 184 805			130 732 660	99 184 805
22 04 01 02	Mediterranean countries — Poverty reduction and sustainable development	4	654 227 065	470 341 793			654 227 065	470 341 793
22 04 01 03	Mediterranean countries — Confidence building, security and the prevention and settlement of conflicts	4	421 220 115	193 963 018	100 000 000	100 000 000	521 220 115	293 963 018
22 04 01 04	Support to the peace process and financial assistance to Palestine and to the United Nations Relief and Works Agency for Palestine Refugees (UNRWA)	4	320 598 750	302 440 740			320 598 750	302 440 740
	<i>Article 22 04 01 — Subtotal</i>		1 526 778 590	1 065 930 356	100 000 000	100 000 000	1 626 778 590	1 165 930 356
22 04 02	Supporting cooperation with Eastern Partnership countries							
22 04 02 01	Eastern Partnership — Human rights, good governance and mobility	4	252 879 012	142 497 911			252 879 012	142 497 911
22 04 02 02	Eastern Partnership — Poverty reduction and sustainable development	4	389 328 623	268 456 920			389 328 623	268 456 920

COMMISSION
TITLE 22 — NEIGHBOURHOOD AND ENLARGEMENT NEGOTIATIONS

CHAPTER 22 04 — EUROPEAN NEIGHBOURHOOD INSTRUMENT (ENI) (cont'd)

Title Chapter Article Item	Heading	FF	Budget 2020		Amending budget No 5/2020		New amount	
			Commitments	Payments	Commitments	Payments	Commitments	Payments
22 04 02	(cont'd)							
22 04 02 03	Eastern Partnership — Confidence building, security and the prevention and settlement of conflicts	4	12 708 666	2 488 783			12 708 666	2 488 783
	<i>Article 22 04 02 — Subtotal</i>		654 916 301	413 443 614			654 916 301	413 443 614
22 04 03	Ensuring efficient cross-border cooperation (CBC) and support to other multi-country cooperation							
22 04 03 01	Cross-border cooperation (CBC) — Contribution from Heading 4	4	96 299 260	53 256 169			96 299 260	53 256 169
22 04 03 02	Cross-border cooperation (CBC) — Contribution from Heading 1b (Regional Policy)	1.2	81 139 971	53 256 169			81 139 971	53 256 169
22 04 03 03	Support to other multi-country cooperation in the neighbourhood — Umbrella programme	4	223 300 000	145 229 012			223 300 000	145 229 012
22 04 03 04	Other multi-country cooperation in the neighbourhood — Supporting measures	4	28 500 000	16 297 114			28 500 000	16 297 114
	<i>Article 22 04 03 — Subtotal</i>		429 239 231	268 038 464			429 239 231	268 038 464
22 04 20	Erasmus+ — Contribution from the European Neighbourhood Instrument (ENI)	4	88 242 000	83 635 984			88 242 000	83 635 984
22 04 51	Completion of actions in the area of European Neighbourhood Policy and relations with Russia (prior to 2014)	4	p.m.	135 602 472			p.m.	135 602 472
22 04 52	Cross-border cooperation (CBC) — Contribution from Heading 1b (Regional policy)	1.2	p.m.	p.m.			p.m.	p.m.

COMMISSION

TITLE 22 — NEIGHBOURHOOD AND ENLARGEMENT NEGOTIATIONS

CHAPTER 22 04 — EUROPEAN NEIGHBOURHOOD INSTRUMENT (ENI) (*cont'd*)

Title Chapter Article Item	Heading	FF	Budget 2020		Amending budget No 5/2020		New amount	
			Commitments	Payments	Commitments	Payments	Commitments	Payments
22 04 77	<i>Pilot projects and preparatory actions</i>							
22 04 77 03	Preparatory action — New Euro-Mediterranean strategy for youth employment promotion	4	p.m.	p.m.			p.m.	p.m.
22 04 77 04	Pilot project — ENP funding — Preparing staff for EU-ENP-related jobs	4	p.m.	p.m.			p.m.	p.m.
22 04 77 05	Preparatory action — Asset recovery to Arab Spring countries	4	p.m.	p.m.			p.m.	p.m.
22 04 77 06	Pilot project — Developing knowledge-based European journalism relating to Europe's neighbours, through educational activities delivered by the Natolin Campus of the College of Europe.	4	p.m.	p.m.			p.m.	p.m.
22 04 77 07	Preparatory action — Support for Union neighbours to implement asset recovery	4	p.m.	p.m.			p.m.	p.m.
	<i>Article 22 04 77 — Subtotal</i>		p.m.	p.m.			p.m.	p.m.
	Chapter 22 04 — Total		2 699 176 122	1 966 650 890	100 000 000	100 000 000	2 799 176 122	2 066 650 890

22 04 01 ***Supporting cooperation with Mediterranean countries***

22 04 01 03 Mediterranean countries — Confidence building, security and the prevention and settlement of conflicts

Figures (Differentiated appropriations)

Budget 2020		Amending budget No 5/2020		New amount	
Commitments	Payments	Commitments	Payments	Commitments	Payments
421 220 115	193 963 018	100 000 000	100 000 000	521 220 115	293 963 018

COMMISSION
TITLE 22 — NEIGHBOURHOOD AND ENLARGEMENT NEGOTIATIONSCHAPTER 22 04 — EUROPEAN NEIGHBOURHOOD INSTRUMENT (ENI) (*cont'd*)22 04 01 (*cont'd*)22 04 01 03 (*cont'd*)*Remarks*

This appropriation is intended in particular to cover bilateral and multi-country cooperation actions promoting results in, inter alia, the following areas:

- confidence and peace building including amongst children,
- security and the prevention and settlement of conflicts,
- support to refugees and displaced population including children, in particular (unaccompanied) children, women and victims of sexual violence within and outside armed-conflict areas.

An adequate level of appropriations should be reserved for the support of civil society organisations.

More support is to be given to the stabilisation of Libya in view of recent political developments in the country. Particular attention should be paid to ensuring that Union funding for Libya is not used to finance arbitrary detentions and the detention of vulnerable persons, in particular children, and that migrants are treated in a manner fully in keeping with international human rights standards.

Any income from additional financial contributions from Member States and contributions from third countries or from bodies other than those set up under the TFEU or the Euratom Treaty to certain external aid actions or programmes financed by the Union and managed by the Commission may give rise to the provision of additional appropriations. Such contributions under Article 6 3 3 of the statement of revenue constitute assigned revenue under points (a)(ii) and (e) of Article 21(2) of the Financial Regulation. The amounts entered on the line for administrative support expenditure will be determined, without prejudice to Article 235(5) of the Financial Regulation, by the contribution agreement for each operational programme with an average not exceeding 4 % of the contributions for the corresponding programme for each chapter .

Other assigned revenue received under Items 5 2 2 0 and 6 6 0 0 of the statement of revenue may give rise to the provision of additional appropriations under this item, in accordance with Article 22(1) of the Financial Regulation.

Legal basis

Regulation (EU) No 232/2014 of the European Parliament and of the Council of 11 March 2014 establishing a European Neighbourhood Instrument (OJ L 77, 15.3.2014, p. 27).

COMMISSION

TITLE 22 — NEIGHBOURHOOD AND ENLARGEMENT NEGOTIATIONS

TITLE 23

HUMANITARIAN AID AND CIVIL PROTECTION

TITLE 23

HUMANITARIAN AID AND CIVIL PROTECTION

General summary of appropriations (2020 and 2019) and outturn (2018)

Title Chapter	Heading	Budget 2020		Amending budget No 5/2020		New amount	
		Commitments	Payments	Commitments	Payments	Commitments	Payments
23 01	ADMINISTRATIVE EXPENDITURE OF THE 'HUMANITARIAN AID AND CIVIL PROTECTION' POLICY AREA	53 528 912	53 528 912			53 528 912	53 528 912
23 02	HUMANITARIAN AID, FOOD ASSISTANCE AND DISASTER PREPAREDNESS	1 092 234 779	1 197 700 000	485 000 000	68 000 000	1 577 234 779	1 265 700 000
23 03	THE UNION CIVIL PROTECTION MECHANISM	574 899 000	268 067 750			574 899 000	268 067 750
	<i>Reserves (40 02 41)</i>						
		574 899 000	268 067 750			574 899 000	268 067 750
23 04	EU AID VOLUNTEERS INITIATIVE	19 355 000	17 584 960			19 355 000	17 584 960
	Title 23 — Total	1 740 017 691	1 536 881 622	485 000 000	68 000 000	2 225 017 691	1 604 881 622
	Reserves (40 02 41)						
		1 740 017 691	1 536 881 622	485 000 000	68 000 000	2 225 017 691	1 604 881 622

COMMISSION

TITLE 23 — HUMANITARIAN AID AND CIVIL PROTECTION

TITLE 23

HUMANITARIAN AID AND CIVIL PROTECTION

CHAPTER 23 02 — HUMANITARIAN AID, FOOD ASSISTANCE AND DISASTER PREPAREDNESS

Title Chapter Article Item	Heading	FF	Budget 2020		Amending budget No 5/2020		New amount	
			Commitments	Payments	Commitments	Payments	Commitments	Payments
23 02	HUMANITARIAN AID, FOOD ASSISTANCE AND DISASTER PREPAREDNESS							
23 02 01	<i>Delivery of rapid, effective and needs-based humanitarian aid and food assistance</i>	4	1 042 234 779	1 144 000 000	485 000 000	68 000 000	1 527 234 779	1 212 000 000
23 02 02	<i>Disaster prevention, disaster risk reduction and preparedness</i>	4	50 000 000	53 700 000			50 000 000	53 700 000
23 02 77	<i>Pilot projects and preparatory actions</i>							
23 02 77 01	Pilot project — Ensuring effective delivery of aid to victims of sexual and gender-based violence in humanitarian settings	4	p.m.	p.m.			p.m.	p.m.
	Article 23 02 77 — Subtotal		p.m.	p.m.			p.m.	p.m.
	Chapter 23 02 — Total		1 092 234 779	1 197 700 000	485 000 000	68 000 000	1 577 234 779	1 265 700 000

23 02 01 *Delivery of rapid, effective and needs-based humanitarian aid and food assistance**Figures (Differentiated appropriations)*

Budget 2020		Amending budget No 5/2020		New amount	
Commitments	Payments	Commitments	Payments	Commitments	Payments
1 042 234 779	1 144 000 000	485 000 000	68 000 000	1 527 234 779	1 212 000 000

Remarks

This appropriation is intended to cover the financing of humanitarian and food assistance operations of a humanitarian nature to help people in countries outside the Union who are the victims of conflicts or disasters, both natural and man-made (wars, outbreaks of fighting, etc.), or comparable emergencies, for as long as is necessary to meet the humanitarian needs that such situations give rise to. It will be carried out in accordance with the rules on humanitarian aid under Regulation (EC) No 1257/96.

CHAPTER 23 02 — HUMANITARIAN AID, FOOD ASSISTANCE AND DISASTER PREPAREDNESS (*cont'd*)**23 02 01** (*cont'd*)

The aid and assistance is granted to victims without discrimination or adverse distinction on the grounds of race, ethnic origin, religion, disability, sex, age, nationality or political affiliation. That aid and assistance is provided in accordance with international humanitarian law and should not be subject to restrictions imposed by other partner donors, as long as it is necessary to meet the humanitarian needs to which such situations give rise.

This appropriation is also intended to cover the purchase and delivery of any product or equipment needed for the implementation of humanitarian aid operations, including the building of homes or shelters for affected groups of people, short-term rehabilitation and reconstruction work, particularly of infrastructure and facilities, the costs associated with external, expatriate or local staff, storage, international or national shipment, logistic support and distribution of relief and any other action aimed at facilitating freedom of access to the recipients of the aid.

This appropriation may be used to finance the purchase and delivery of food, seeds, livestock or any product or equipment needed for the implementation of the humanitarian aid and food assistance operations.

This appropriation may also cover any other costs directly linked to the implementation of humanitarian aid operations and the cost of the measures that are essential for implementing food assistance operations of a humanitarian nature within the requisite timescale and in a way which meets the needs of the recipients, satisfies the requirement to achieve the greatest possible degree of cost-effectiveness and provides greater transparency.

It covers, *inter alia*:

- measures to provide an enabling environment and access to quality education in humanitarian emergencies, including the basic rehabilitation and reconstruction of school buildings and facilities, psycho-social support, training of teachers and any product or equipment needed for the implementation of humanitarian aid operations related to access to education,
- feasibility studies on humanitarian operations, evaluations of humanitarian aid projects and plans, visibility operations and information campaigns linked to humanitarian operations,
- the monitoring of humanitarian aid projects and plans and the promotion and development of initiatives intended to increase coordination and cooperation so as to make aid more effective and improve the monitoring of projects and plans,
- the supervision and coordination of the implementation of aid operations forming part of the humanitarian aid and food assistance concerned activities, in particular the terms for the supply, delivery, distribution and use of the products involved, including the use of counterpart funds,
- measures to strengthen Union coordination with the Member States, other donor countries, international organisations and institutions (in particular those forming part of the United Nations), non-governmental organisations and organisations representing the latter,
- the financing of technical assistance contracts to facilitate the exchange of technical know-how and expertise between Union humanitarian organisations and agencies or between such bodies and those of third countries,
- studies and training linked to the achievement of the objectives of the humanitarian aid and food assistance policy,
- action grants and running cost grants in favour of humanitarian networks,
- humanitarian mine-clearance operations including public awareness campaigns for local communities on anti-personnel mines,

COMMISSION

TITLE 23 — HUMANITARIAN AID AND CIVIL PROTECTION

CHAPTER 23 02 — HUMANITARIAN AID, FOOD ASSISTANCE AND DISASTER PREPAREDNESS (*cont'd*)23 02 01 (*cont'd*)

- expenditure incurred by the network on humanitarian assistance (NOHA), pursuant to Article 4 of Regulation (EC) No 1257/96. This is a one-year multidisciplinary postgraduate diploma in the humanitarian field designed to promote greater professionalism amongst humanitarian workers and involving several participating universities,
- the transport and distribution of aid or assistance, including any related operations such as insurance, loading, unloading and coordination,
- back-up measures that are essential for the programming, coordination and optimum implementation of the aid or assistance, the cost of which is not covered by other appropriations, e.g. exceptional transport and storage operations, processing or preparation of foodstuffs on the spot, disinfection, consultants' services, technical assistance and equipment directly involved in providing the aid or assistance (tools, utensils, fuel, etc.),
- pilot schemes concerning new forms of transport, packaging or storage, studies of food assistance operations, visibility operations linked to the humanitarian operations, and information campaigns to increase public awareness,
- the storage of food (including administrative costs, futures operations, with or without options, training of technicians, purchase of packaging and mobile storage units and cost of maintaining and repairing stores),
- the technical assistance necessary for the preparation and implementation of humanitarian aid projects, in particular expenditure incurred covering the cost of contracts of individual experts in the field and the infrastructure and logistics costs, covered by imprest accounts and expenditure authorisations, of the Directorate-General for European Civil Protection and Humanitarian Aid Operations (ECHO) units deployed throughout the world.

In order to ensure full financial transparency under Articles 62 and 154 to 156 of the Financial Regulation, the Commission, when concluding or modifying agreements on the management and implementation of projects by international organisations, will make every effort to commit to sending all their internal and external audits regarding the use of Union funds to the Court of Auditors and to the Internal Auditor of the Commission.

Any income from additional financial contributions from Member States and contributions from third countries or from bodies other than those set up under the TFEU or the Euratom Treaty to certain external aid actions or programmes financed by the Union and managed by the Commission may give rise to the provision of additional appropriations. Such contributions under Article 6 3 3 of the statement of revenue constitute assigned revenue under points (a)(ii) and (e) of Article 21(2) of the Financial Regulation. The corresponding amount is estimated at EUR 1 33 000 000 in payment appropriations. The amounts entered on the line for administrative support expenditure will be determined, without prejudice to Article 235(5) of the Financial Regulation, by the contribution agreement for each operational programme with an average not exceeding 4 % of the contributions for the corresponding programme for each chapter.

Other assigned revenue received under Items 4 0 1 1 and 6 6 0 0 of the statement of revenue may give rise to the provision of additional appropriations under this article in accordance with Article 22(1) of the Financial Regulation.

Legal basis

Council Regulation (EC) No 1257/96 of 20 June 1996 concerning humanitarian aid (OJ L 163, 2.7.1996, p. 1).

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